

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.            | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|----------------------------|----------------|----------------------|-------------------------|------------------|
| 09/884,579                 | 06/18/2001     | Sujay Singh          | IMG-00112.P.1-US        | 2573             |
| 7                          | 590 11/14/2002 |                      |                         |                  |
| David R. Preston           |                |                      | EXAMINER                |                  |
| Suite 205<br>12625 High Bl |                |                      | WILSON, MICHAEL C       |                  |
| San Diego, CA              | 92130          |                      | ART UNIT                | PAPER NUMBER     |
|                            |                | •                    | 1632                    |                  |
|                            |                |                      | DATE MAILED: 11/14/2002 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| <b>/</b> ,   |   |  |   |  |  |  |  |
|--|---|--|---|--|--|--|--|
| •  | Application No.   | Apr  | olicant(s)  |  |  |  |  |
| •  | 09/884,579  | SIN  | IGH ET AL.  |  |  |  |  |
| Office Action Summary  | Examiner  | Art  | Unit  |  |  |  |  |
|  | Michael C. Wils   |  |   |  |  |  |  |
| The MAILING DATE of this community Period for Reply  | unication appears on the cover  | er sheet with the corres   | spondence address   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD THE MAILING DATE OF THIS COMMU - Extensions of time may be available under the provision after SIX (6) MONTHS from the mailing date of this color of the period for reply specified above is less than thirty of NO period for reply is specified above, the maximum Failure to reply within the set or extended period for reply any reply received by the Office later than three month earned patent term adjustment. See 37 CFR 1.704(b). | NICATION. ons of 37 CFR 1.136(a). In no event, how mmunication. (30) days, a reply within the statutory m statutory period will apply and will expir ply will, by statute, cause the application is after the mailing date of this communic | wever, may a reply be timely file<br>inimum of thirty (30) days will be<br>SIX (6) MONTHS from the ma<br>to become ABANDONED (35 | ed e considered timely. ailing date of this communication. U.S.C. § 133). |  |  |  |  |
| 1) Responsive to communication(s)  | filed on  |  |   |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> .  | 2b)⊠ This action is non-  | final.   |   |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |   |  |   |  |  |  |  |
| Disposition of Claims  |   |  |   |  |  |  |  |
| 4)⊠ Claim(s) <u>1-22</u> is/are pending in th  | e application.  |  |   |  |  |  |  |
| 4a) Of the above claim(s) is   | /are withdrawn from conside   | ration.  |   |  |  |  |  |
| 5) Claim(s) is/are allowed.  |   |  |   |  |  |  |  |
| 6) Claim(s) is/are rejected.   |   |  |   |  |  |  |  |
| 7) Claim(s) is/are objected to.  | Claim(s) is/are objected to.  |  |   |  |  |  |  |
| 8) Claim(s) <u>1-22</u> are subject to restric   | ction and/or election requirer  | nent.  |   |  |  |  |  |
| Application Papers   |   |  |   |  |  |  |  |
| 9)☐ The specification is objected to by t  | the Examiner.   |  |   |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.   |   |  |   |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |  |   |  |  |  |  |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.   |   |  |   |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.   |   |  |   |  |  |  |  |
| 12)☐ The oath or declaration is objected to by the Examiner.   |   |  |   |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  |   |  |   |  |  |  |  |
| 13) Acknowledgment is made of a clai   | <b>o</b> , ,  | 5 U.S.C. § 119(a)-(d)  | or (f).   |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of  |   |  |   |  |  |  |  |
| 1. Certified copies of the priorit   |   |  |   |  |  |  |  |
| 2. Certified copies of the priorit   |   |  |   |  |  |  |  |
| <ul><li>3. Copies of the certified copie</li><li>application from the Inte</li><li>* See the attached detailed Office act</li></ul>  | rnational Bureau (PCT Rule  | 17.2(a)).  | this National Stage   |  |  |  |  |
|  |   |  | a provisional application)  |  |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  |   |  |   |  |  |  |  |
| 15) Acknowledgment is made of a claim  | ·   |  |   |  |  |  |  |
| Attachment(s)  |   |  |   |  |  |  |  |
| Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review     Information Disclosure Statement(s) (PTO-1449)   |   | Notice of Informal Patent  | 0-413) Paper No(s)<br>Application (PTO-152)                               |  |  |  |  |

U.S. Patent and Trademark Office

Office Autien C........



Art Unit: 1632

## **DETAILED ACTION**

## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1, 6 and 11, drawn to a transgenic bird having a knockout of an endogenous immunoglobulin gene, classified in class 800, subclass 19.
- II. Claims 2-5, 7-10 drawn to a transgenic bird having a knockout of an endogenous immunoglobulin gene and an insertion of an exogenous immunoglobulin gene, classified in class 800, subclass 19.
- III. Claims 12 and 16, drawn to a method of making an antibody using a transgenic bird immunized with an antigen and isolating the antibody from serum or egg of the bird, classified in class 800, subclass 6.
- IV. Claims 13, 15, 17, 19 and 22, drawn to an antibody, classified in class 530, subclass 387.1.
- V. Claims 14, 18, drawn to a method of making an antibody using a transgenic bird immunized with an antigen and isolating the antibody from B-cells of the bird, classified in class 800, subclass 4.
- VI. Claims 20 and 21, drawn to transfecting cells with DNA encoding an antibody, classified in class 435, subclass 325.

The inventions are distinct, each from the other because of the following reasons:

Groups I and II are patentably distinct because the transgenic bird lacking an immunoglobulin gene can be used to study the role of the gene on the immune system



Art Unit: 1632

of birds *in vivo* while the transgenic bird lacking an endogenous immunoglobulin gene and expressing an exogenous immunoglobulin gene can be used to make chimeric antibodies. The protocols and reagents for knocking out a gene are materially distinct and separate than those required to insert an exogenous gene. The knockout bird does not require the bird having a knockout and an exogenous gene because the knockout can be performed by itself. The bird having a knockout and an exogenous gene does not require the knockout because both the knockout and insertion can be performed at the same time.

Groups I and III or V are patentably distinct because the transgenic bird lacking an immunoglobulin gene can be used to study the role of the gene on the immune system of birds *in vivo* while the method of making an antibody in Group III is used to make chimeric antibodies. The protocols and reagents required for making a transgenic bird are materially distinct and separate than those required for using a bird to isolate antibodies. The knockout does not require the method of isolating antibodies and the method of isolating the antibodies does not require the knockout.

Groups I and IV are patentably distinct because the knockouts can be used to study the role of the gene on the immune system of birds *in vivo* while the antibody can be used to isolate protein. The protocols and reagents for transgenics and antibodies are materially distinct and separate. The birds do not require the antibodies and the antibodies do not require the birds.

Groups I and VI are patentably distinct because the knockouts can be used to study the role of the gene on the immune system of birds *in vivo* while transfecting cells



Art Unit: 1632

with DNA encoding an antibody can be used to isolate antibodies. The protocols and reagents for making transgenics and for transfecting cells are materially distinct and separate. Transgenics do not require the particulars of the method of transfecting cells for patentability and the method of transfecting cells can be used for purposes other than making transgenics, i.e. isolating antibodies *in vitro*.

Inventions II and III or V are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the method can be performed in a transgenic bird having an exogenous immunoglobulin gene without having a knockout. In addition, the method can be performed two materially distinct and separate ways (Groups III and V).

Groups II and IV are patentably distinct because the knockout having an exogenous immunoglobulin gene can be used to isolate chimeric antibodies while the antibody can be used to humanize chicken antibodies. The protocols and reagents for transgenics and antibodies are materially distinct and separate. The birds do not require the antibodies and the antibodies do not require the birds.

Groups II and VI are patentably distinct because the knockout having an exogenous immunoglobulin gene can be used to isolate chimeric antibodies *in vivo* while transfecting cells with DNA encoding an antibody can be used to isolate antibodies *in vitro*. The protocols and reagents for making transgenics and for



Art Unit: 1632

transfecting cells are materially distinct and separate. Transgenics do not require the particulars of the method of transfecting cells for patentability and the method of transfecting cells can be used for purposes other than making transgenics, i.e. isolating antibodies *in vitro*.

Inventions III and IV are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the method can be used to make various chimeric antibodies, each of which has a different structure and function. In addition, the antibodies can be made using a different process (Group V).

Inventions III and V are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are not used together and have different modes of operations. The steps required to search Groups III and V are mutually exclusive, each requiring a separate search. Searching both Groups together would be undue.

Groups III or V and VI are patentably distinct because immunizing a bird with an antigen can be used to isolate chimeric antibodies *in vivo* while transfecting cells with DNA encoding an antibody can be used to isolate antibodies *in vitro*. The protocols and reagents for isolating antibodies *in vivo* and *in vitro* are materially distinct and separate. Transgenics do not require the particulars of the method of transfecting cells for



Art Unit: 1632

patentability and the method of transfecting cells can be used for purposes other than making transgenics, i.e. isolating antibodies *in vitro*.

Inventions IV and V or VI are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the method can be used to make various chimeric antibodies, each of which has a different structure and function. In addition, the antibodies can be made using a different process (Group III).

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Because these inventions are distinct for the reasons given above and the search required for Groups I-VI are mutually exclusive, restriction for examination purposes as indicated is proper.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).



Art Unit: 1632

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Wilson whose telephone number is 703-305-0120. The examiner can normally be reached on M-F 9-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Deborah Reynolds can be reached on 703-305-4051. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-4242 for regular communications and 703-308-8724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1235.

MICHAEL C. WILSON PATENT EXAMINER